30 OCT 20

BERESKIN & PARR BOX 401, 40 KING STREET WEST, TORONTO, CANADA M5H 3Y2 PHONE (416) 364-7311 • FAX (416) 361-1398 • WWW.BERESKINPARR.COM

Please type a plus sign (+) inside this box +

PTO/SB/21 (6-98)

Approved for use through 09/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

valid OMB control number.			
	·	Application Number	10/089,058
TRANSMITTA	L	Filing Date	October 4, 2000
FORM		First Named Inventor	10/089,058  October 4, 2000  Curtis Braun  Unknown as of Yet  Unknown as of Yet  oer 10447-22
(to be used for all correspondence after in	itial filing)	Group Art Unit	Unknown as of Yet
·		Examiner Name	Unknown as of Yet
Total Number of Pages in This Submissi	or 8	Attorney Docket Numb	per 10447-22
	ENCLOS	SURES (check all that a	pply)
Response to Missing Parts/ *-	Drawing  Licensin  Petition and Acc  Petition Provisic  Change Address  Termina  Small E  Requese emarks in addition	ng-related Papers Routing Slip (PTO/SB/69) companying Petition I to Convert to a conal Application of Attorney, Revocation of Correspondence al Disclaimer Entity Statement st for Refund	After Allowance Communication to Group  Appeal Communication to Board of Appeals and Interferences  Appeal Communication to Groum (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  Additional Enclosure(s) (please identify below)
SIGNATURE	OF APPL	ICANT, ATTORNEY, OF	RAGENT
Firm or Individual name Micheline Gravelle, Re	egistration	No. 40,261	
Signature	towel	lle	
Date October 29, 2002			
	CERTIFIC	ATE OF MAILING	
I hereby certify that this correspondence is envelope addressed to: Assistant Commis	being depo sioner for Pa	osited with the United State atents, Washington, D.C. 2	s Postal Service <u>as first class mail in an</u> 20231 on this dat <mark>s:</mark>
Typed or printed name			
Signature		Da	te i

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



## Bereskin & Parr



Barristers and Solicitors/Patent and Trade Mark Agents Practice Restricted to Intellectual Property Law

Micheline Gravelle B.Sc., M.Sc. (Immunology.) 416 957 1682 mgravelle@bereskinparr.com

Your Reference: 10/089,058 Our Reference: 10447-22

The Commissioner of Patents & Trademarks Washington, D.C. 20231 U.S.A.

Dear Sir:

Re: FILING OF AN INFORMATION DISCLOSURE STATEMENT

United States Patent Application No. 10/089,058

Filing Date: October 4, 2000

**Entitled:** Improved Ricin-Like Toxins for Treatment of Cancer

Inventors: Curtis Braun, Admir Purac and Thor Borgford

In accordance with 37 CFR 1.97 and 1.98, and in recognition of the duty of disclosure set forth in 37 CFR 1.56, Applicant hereby submits an Information Disclosure Statement on Form PTO-1449 containing a listing of patents and other publications of which Applicant is aware. Applicant is also submitting the references listed on the Information Disclosure Statement.

All of the patents and publications submitted herewith are in the English language and accordingly a concise explanation of the relevance of the documents is not required.

The Examiner is requested to indicate consideration of these documents by initialling the appropriate column. Applicant reserves the right to contest the applicability of any of these documents as prior art against the subject application.

please send your reply to:

Scotia Plaza, 40 King Street West, 40th Floor Toronto, Ontario, Canada M5H 3Y2 416 364 7311 fax: 416 361 1398

Meadowvale Corporate Centre, 2000 Argentia Road Plaza 4, Suite 430, Mississauga, Ontario, Canada L5N 1W1 905 812 3600 fax: 905 814 0031

www.bereskinparr.com

This Information Disclosure Statement is being filed within three months of the filing of the application or before the mailing date of a first Office Action on the merits; therefore, no fee is required.

If the Examiner has any questions concerning this Information Disclosure Statement, he is requested to contact the undersigned. If it is determined that this Information Disclosure Statement has been filed under the wrong rule, the Patent and Trademark Office is requested to consider this Information Disclosure Statement under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 02-2095.

If additional fees are required, please charge the fees to our Deposit Account No. 02 2095.

Respectfully submitted,

Curtis Braun, Admir Purac & Thor Borgford

Micheline Gravelle

Registration No. 40,261

Bereskin & Parr Box 401, 40 King Street West Toronto, Ontario Canada M5H 3Y2

(416) 364-7311

ATTY. DOCKET NO.: 10447-022 DEPARTMENT OF COMMERCE Form PTO-1449 (REV. 2-32) PATENT AND TRADEMARK OFFICE APPLICANTS: Curtis Braun et al. INFORMATION DISCLOSURE STATEMENT BY APPLICANT FILING DATE: October 4, 2000 (Use several sheets if necessary) **U.S. PATENT DOCUMENTS** SUB-DOCUMENT \*EXA-CLASS **CLASS** NAME MINER NUMBER DATE INITIAL Lifson et al. 1989-09-25 4,869,903 Piatak Jr. et al. 1992-03-31 5,101,025 Piatak Jr. et al. 1992-07-07 5,128,460 FOREIGN PATENT DOCUMENTS SUB-DOCUMENT **TRANSLATION** COUNTRY **CLASS** DATE **CLASS** YES NUMBER NO 1992-01-15 Europe EP 145,111 1985-06-19 Europe EP 466,222 1989-06-01 **PCT** WO89/04839 1994-08-18 PCT WO94/18332 1997-11-06 **PCT** WO97/41233 1998-11-05 **PCT** WO98/49311 OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.) 1. Abad-Zapatero, C. et al., Protein Sci. 5:640-652 (1996) 2. Allured, V.S. et al., Proc. Natl. Acad. Sci. USA 83:1320-1324 (1986) 3. Bever Jr., C.T., Panitch, H.S., and Johnson, K.P. (1994) Neurology 44(4), 745-8. 4. Blackman, M.J. et al. (Mol. Biochem. Parasitol. 62:103-114 (1995 or 1993?) 5. Blaha, I. et al., FEBS Lett. 309:389-393 (1992) \* EXAMINER: Initial if citation considered, whether or not citation is in accordance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Use s	ON DI BY A severa. O		APPLICANTS: Curtis Braun et al.  FILING DATE: October 4, 2000  (including Author, Title, Date, Pertinent Pa	GROUP:		
(Use : 6 7 8 9 1	BY A           several           O           6.         B           7.         B	APPLICANT I sheets if necessary) THER DOCUMENTS		GROUP:		
7 8 9	6. B		(including Author, Title, Date, Pertinent Pa			
7 8 9	7. B	Slum, I.S. et al., I. Biol. Cher.		ages, Etc.)		
9		range just be also je brown Citon	n. 266: 22091-22095 (1991)	TEC, MA,		
9	3. (	OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)  Blum, J.S. et al., J. Biol. Chem. 266: 22091-22095 (1991)  Bonifacino, J.S., Nature 384: 405-406 (1996)  Carvalho, K. et al., Biochem. Biophys. Res. Comm. 191:172-179 (1993)  Chirawin et al. Biochemistry 18, 5294-5299 (1979)				
1	1	Carvalho, K. et al., Biochem. Biophys. Res. Comm. 191:172-179 (1993)				
	9. (	Chirgwin et al., Biochemistry 18, 5294-5299 (1979)				
1	10. (	Cohen, P., Graves, H.C., Peehl, D.M., Kamarei, M., Giudice, L.C., and Rosenfeld, R.G. (1992) Journal of Clinal Endocrinology and Metabolism 75(4), 1046-53.				
1	11. (	Collier, R.J. & Kandel, J., J. Biol. Chem. 246:1496-1503 (1971)				
1	12.	Collier, R.J. et al., J. Biol. Chem. 257:5283-5285 (1982)				
1	13.					
1	14. (	Conover, C.A. and De Leon, D.D., J. Biol. Chem. 269(10), 7076-80 (1994)				
1	15. C	Cook, J.P. et al., Bioconjugate Chem. 4, 440-447 (1993)				
1	16. C	Cooper, J.A. and Bujard, H. (Mol. Biochem. Parasitol. 56:151-160 (1992)				
1	17. (	Cutfield, S.M. et al., Biochemistry 35:398-410 (1995)				
1	18. <u>[</u>	Demeure, M.J. et al., World J. Surg. 16:770-776 (1992)				
1	19. [	Dilannit, 1990, J. Biol. Chem. 285: 17345-17354 (1990)				
2	20. E	Emmanuel, F. et al., Anal. <i>Biochem.</i> 173: 134-141 (1988)  Endo, Y. & Tsurugi, K. J., <i>Biol. Chem.</i> 262:8128 (1987)  Fiani, M.L. et al., <i>Arch. Biochem. Biophys.</i> 307: 225-230 (1993)				
2	21. E					
2	22. F					
2	23. F	Funmatsu et al., Jap. J. Med. Sci. Biol. 23:264-267 (1970)				
2	24. Fusek, M. et al. (FEBS Lett. 327:108-112 (1993)					
2	25.	Garred, O. et al. (J. Biol. Chem. 270:10817-10821 (1995)				
2	26.	Gluzman, Y. (1975) Cell, 23, 175 - 182)				
2	27.	Goldberg, D.E. et al. (J. Exp. Med. 173:961-969 (1991)				
2	28.	Gray, G.L. et al., Proc. Natl. Acad. Sci. USA 81:2645-2649 (1984)				
2	29.	Greenfield, L. et al., Proc. Natl. Acad. Sci. USA 80:6853-6857 (1983)				
3	30. F	Halling, K. et al. Nucleic Ac	cids Res. 13:8019 (1985)			
3	31. J	łansen, G., Schuster, A., Zu	ibrod, C., and Wahn, V. (1995) Respi	ration 62(3), 117-24		

Form PTO-1449	44	PARTMENT OF COMMERCE	ATTY. DOCKET NO.: 10447-22	SERIAL NO.: 10/089,058	
		ENT AND TRADEMARK OFFICE	APPLICANTS: Curtis Braun et al.		
	BY	DISCLOSURE STATEMENT APPLICANT ral sheets if necessary)	FILING DATE: October 4, 2000	GROUP:	
	(	OTHER DOCUMENTS	(including Author, Title, Date, Pertine	ent Pages, Etc.)	
3	32.	Hirowatari, Y. et al., Arch. Virol. 133:349-356 (1993)			
3	33.	Hirowatari, Y. et al., Anal. Biochem. 225:113-120 (1995)			
3	34.	Holmberg, K. and Myer, R.,	Scand. J. Infect. Dis. 18:179-192 (	1986)	
3	35.	OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)  Hirowatari, Y. et al., Arch. Virol. 133:349-356 (1993)  Hirowatari, Y. et al., Anal.Biochem. 225:113-120 (1995)  Holmberg, K. and Myer, R., Scand. J. Infect. Dis. 18:179-192 (1986)  Honn, K.V. et al. (Biochem. Pharmacol. 34:235-241 (1985)  Jewell, D.A. et al., Biochemistry 31:7862-7869 (1992)  Krane, S.M., Ann. N.Y. Acad. Sci. 732:1-10 (1994)			
3	36.	Jewell, D.A. et al., Biochemistry 31:7862-7869 (1992)			
3	37.	Krane, S.M., Ann. N.Y. Acad. Sci. 732:1-10 (1994)			
3	88.	Lamb and Lord, Eur. J. Biochem. 14:265-270 (1985)			
3	19.	Leppla, S.H. et al. (Bacterial Protein Toxins zbl.bakt.suppl. 24:431-442 (1994)			
4	10.	Liu F. & Roizman, B: (J. Virol. 65:5149-5156 (1991)			
4	1.	Long, A.C. et al., FEBS Lett. 258:75-78 (1989)			
4	2.	Lord, J.M., Eur. J. Biochem. 146:411-416 (1985)			
4	3.	Lord, J.M., Eur. J. Biochem. 146:403-409 (1985)			
4	4.	Lord, J.M. et al., FASAB Journal 8:201-208 (1994)			
4	45. Mackay, A.R. et al. Lab. Invest. 70:800-806 (1994)				
4	6.	6. May, M.J. et al. Embo. Journal, 8:301-308 at 302-303 (1989)			
4	7.	McKerrow, J.H. et al., J. Biol. Chem. 260:3703-3707 (1985)			
4	8.	McPherson, R.A. et al. (Mol. Biochem. Parasitol. 62:233-242 (1993)			
4	9.	Mikkelsen, T. et al. J. Neurosurge, 83:285-290 (1995)			
5	50.	Moore, D.H. et al. <i>Gynecol. Oncol.</i> 65:78-82 (1997)			
5	51.	Muller, H.L., Oh, Y., Gargosky, S.E., Lehrnbecher, T., Hintz, R.L., and Rosenfeld, R.G. (1993) Journal of Clinical Endocrinology and Metabolism 77(5), 1113-9.			
5	52.	Nakano et al. (1995) J. of Neurosurgery 83(2), 298-307			
5	3.	Nwagwu, M. et al. (Exp. Pa	rasitol. 75:399-414 (1992)		
			ot citation is in accordance with MPEP 60 de copy of this form with next communic		



Form PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		ATTY. DOCKET NO.: 10447-022	SERIAL NO.: 10/089,058		
		APPLICANTS: Curtis Braun et al.			
		FILING DATE: October 4, 2000	GROUP:		
		(including Author, Title, Date, Pertinent Pages	s, Etc.)		
54.	O'Dea, K.P. et al., Mol. Bic	ochem. Parasitol. 72:111-119 (1995)	700		
55.	Ogata, M. et al., J. Biol. Chem. 267:25396-25401 (1992)				
56.	PAPPLICANT (veral sheets if necessary)  OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)  O'Dea, K.P. et al., Mol. Biochem. Parasitol. 72:111-119 (1995)  Ogata, M. et al., J. Biol. Chem. 267:25396-25401 (1992)  Olsnes, S. & Phil, A. in Molecular action of toxins and viruses (eds. Cohen, P. & vanHeyningen, S.) 51-105 Elsevier Biomedical Press, Amsterdam, 1982)				
57.	Olson et al., AIDS Res. and Human Retroviruses 7:1025-1030 (1991)				
58.	Panchal, R.G. et al., Nature Biotechnology 14:852-857 (1996)				
59.	Pastan et al., Annals New Y	Pastan et al., Annals New York Academy of Sciences 758:345-353 (1995)			
60.	Pastan, I. et al., Annu. Rev. Biochem. 61:331-354 (1992)				
61.	Peng, K-W, et al. Human Gene Therapy, 8:729-738 (1997)				
62.	Pettit, S.C. et al., J. Biol. Chem. 266:14539-14547 (1991)				
63.	Ray, T.L. and Payne, C.D. (Infect. Immunol. 58:508-514 (1990)				
64.	Remold, H.H. et al. (Biochim. Biophys. Acta 167:399-406 (1968)				
65.	Richardson, P.T. et al., FEBS Lett. 255:15-20 (1989)				
66.	Rosenthal, P.J. et al. (J. Clin. Invest. 91:1052-1056 (1993)				
67.	Ruchel, R. et al, Zentralbl.	Ruchel, R. et al, Zentralbl. Bakteriol. Mikrobiol Hyg. I Abt. Orig. A. 255:537-548 (1983)			
68.	Rutenber, E. et al. Proteins 1	Rutenber, E. et al. Proteins 10:240-250 (1991)			
69.	Sandvig, K. et al., Biochem. Soc. Trans. 21:707-711 (1993)				
70.	Sandvig, K. & van Deurs, B., FEBS Lett. 346: 99-102 (1994)				
71.	Scarborough, P.E. et al., Protein Sci. 2:264-276 (1993)				
72.	Schreiber, B, et al., Diagn. Microbiol. Infect. Dis. 3:1-5 (1985)				
73.	Schwartz, M.K., Clin. Chim. Acta 237:67-78 (1995)				
74.	Shi, Y.E. et al., Cancer Res. 53:1409-1415 (1993)				
75.	Simmons et al., Biol. Chem.	261:7912 (1986)			
76.	Sloane, B.F. et al. (Proc. Na	Sloane, B.F. et al. (Proc. Natl. Acad. Sci. USA 83:2483-2487 (1986)			

in conformance and not considered. Include copy of this form with next communication to applicant.

P PPO 1440 1 T	TANGENT OF COMMERCE	ATTY. DOCKET NO.: 10447-022	SERIAL NO.: 10/089,058			
Form PTO-1449 ' U (REV. 2-32) PA	STORY AND TRADEMARK OFFICE	APPLICANTS: Curtis Braun et al.	3EMAL 100 10/009,038			
	DISCLOSURE STATEMENT	AFFLICANTS: Curus braun et al.				
BY APPLICANT (Use several sheets if necessary)		FILING DATE: October 4, 2000	GROUP:			
	OTHER DOCUMENTS	(including Author, Title, Date, Pertinent Pa	ges, Etc.)			
77.	OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)  Spiess, E. et al., J. Histochem. Cytochem. 42:917-929 (1994)  Spooner et al., Mol. Immunol. 31:117-125, (1994)  Thompson, E.W. et al., Breast Cancer Res. Treatment 31:357-370 (1994)  Vasil, M.L. et al., Infect. Immunol. 16:353-361 (1977)  Vitetta et al., Science 238:1098-1104(1987)  Vitetta & Thorpe, Seminars in Cell Biology 2:47-58 (1991)					
78.	Spooner et al., Mol. Immunol. 31:117-125, (1994)					
79.	Thompson, E.W. et al., Breast Cancer Res. Treatment 31:357-370 (1994)					
80.	Vasil, M.L. et al., Infect. Immunol. 16:353-361 (1977)					
81.	Vitetta et al., Science 238:1098-1104(1987)					
82.	Vitetta & Thorpe, Seminars in Cell Biology 2:47-58 (1991)					
83.	Vitetta et al., Immunology	Гоday 14:252-259 (1993)				
84.	Weidner, J.R. et al. (Arch.	Weidner, J.R. et al. (Arch. Biochem. Biophys. 286:402-408 (1991)				
85.	Welch, A.R. et al. (Arch. Biochem. Biophys. 324:59-64 (1995)					
86.	Welch, A.R. (Proc. Natl. Acad. Sci. USA 88:10792-10796 (1991)					
87.	Wellner, R.B. et al. J. Toxicol. Toxin Reviews, 14(4), 483-522 (1995)					
88.	Westby et al., Bioconjugate Chem. 3:377-382 (1992)					
89.	Weston et al., Mol. Biol. 244:410-422 (1994)					
90.	Wiertz, E.J. et al., Nature 384: 432-438 (1996)					
91.	Woessner, J.F., Ann. N.Y. Acad. Sci. 732:11-21 (1994)					
92.	Young, T.N. et al. <i>Gynecol. Oncol.</i> 62:89-99 (1996)					
93.		,				
94.						
95.						
96.						
97.						
98.						
99.			<u> </u>			
100.			<del> </del>			
101.						
102.	<u> </u>	ot citation is in accordance with MPEP 609; drav	10 11 11 11 11 11			

.